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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,194	03/17/2004	Roman Heckt	10541-1989

Eric J. Sosenko, Esq.  
BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

CONFIRMATION NO. 3418

## FORMALITIES LETTER



\*OC000000012865562\*

Date Mailed: 06/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 2 & 7.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

Melanie Set hiser  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Roman Heckt  
Appln. No.: 10/802,194  
Filed: March 17, 2004  
For: HEAT EXCHANGER  
ASSEMBLY  
Attorney Docket No: 10541-1989

Examiner:  
Art Unit: 3744

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P. O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION (FILING DATE GRANTED)**

Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted mailed June 3, 2004, "Part 2" of which is attached, enclosed herewith for filing are Replacement Drawings (Figs. 1-7) on 4 sheets to replace the drawings originally filed with the above-referenced patent application.

Applicant has calculated no fee to be due in connection with the filing of this Supplemental Response. A surcharge in the amount of \$130.00 was paid with a Response to Notice of File Missing Parts filed July 22, 2004. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Supplemental Response to Deposit Account No. 06-1500.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: August 3, 2004

Name: Ashley L. Rhoades

Signature: *Ashley L. Rhoades*

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& LIONE

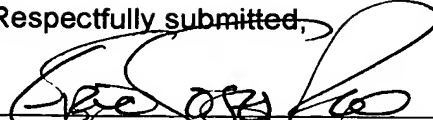
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August 3, 2004

Date

EJS/alr

Respectfully submitted,



Eric J. Sosenko (Reg. No. 34,440)  
Attorney/Agent for Applicant